

## Call for tenders' details

Title: Machine Readable and Executable Reporting Requirements Proof of Concept

Start date: 26/02/2020

Time limit for receipt of tenders: 29/04/2020

Contracting authority: European Commission, DG Financial Stability, Financial Services and Capital Markets Union (FISMA)

Status: Closed

## Call for tenders question list

## Call for tenders questions summary

#	Submission date	Publication date	Question subject	Question	Answer
1	13/03/2020 17:13	19/03/2020 10:50	Selection criteria; Tender specifications, section 3.2.3 & Annex 1	With respect to the selection criteria T1-T4 the following minimum criterion is stated: "At least 2 projects (with a similar scope and complexity) completed in the last five years preceding the tender submission deadline. The same project can apply for criteria T1, T2, T3, T4." Within Annex 1 "List of documents to be submitted with the tender or during the procedure" the name of the file to be submitted is correspondingly named "Project_reference_No.X". However, within section 3.2.3 the evidence is prescribed as "A curriculum vitae of maximum 2 pages covering relevant educational and professional qualifications". Could you please clarify whether the tenderers are expected to submit project references or CVs with respect to these selection criteria?	<b>19/03/2020</b> A mistake has been made for the evidence for selection criteria T1, T2, T3, T4. The evidence to be provided is: a list of projects meeting the minimum level of capacity in the past five years. The list shall include details of the scope of the project, role of the tenderers, total project budget, start and end date, and recipients, public or private. In case of projects still on-going, only the portion completed during the reference period will be taken into consideration. The most important services shall be accompanied by certificates of satisfactory execution, specifying that they have been carried out in a professional manner and have been fully completed. The Contracting Authority may take contact with the references provided by the tenderer. The CVs should serve as evidence for criteria T5, T6, T7, T8 as those refer to the team delivering the service.

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2	13/03/2020 17:14	19/03/2020 10:50	Technical offer: Tender specifications, section 4.2	According to section 4.2 the technical offer must provide all the information needed to assess the compliance with Section 1.4 of these specifications and the award criteria. Are there any other requirements for the technical offer, e.g. regarding the format and size of the document?	<b>19/03/2020</b> No there is no specific requirements for the technical offer.
3	25/03/2020 16:17	27/03/2020 12:03	Task three	In the CfT in task three it is described that: the requirements from EMIR Refit are expressed in a machine-readable and executable form. Is the expectation that this translation of EMIR Refit requirements is an automated repeatable process or is this seen as a one-off manual action?	<b>27/03/2020</b> The tenderer is allowed to propose a one-off manual transition, however, in the longer-term we expect this to be a repeatable process and the proposal should explain how this would be achieved. As mentioned in the CfT, the translation process should facilitate human readability, maintainability and verification.
4	25/03/2020 16:18	27/03/2020 12:04	DAML	In footnote 7 in the CfT you refer to DAML - is the reference to the DARPA Markup Language or to the smart contract language Digital Asset Modeling Language?	<b>27/03/2020</b> The reference is to the Digital Asset Modeling Language

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5	25/03/2020 16:18	27/03/2020 12:05	MRER	What is the expectation for MRER with respect to the revised EMIR Level 1 legislation while the main focus lies on the reporting requirements as specified in the Level 2 legislation (RTS/ITS)?	<b>27/03/2020</b> The CfT concerns the expression of reporting requirements in a machine readable and executable form. Level 2 reporting requirements (RTS/ITS) define the specific technical aspects of reporting requirements as defined at Level 1. As such, both Level 1 and Level 2 requirements should be taken into account, although in practical terms, the tenderer will make a proposal primarily based on an analysis of reporting requirements defined in the EMIR Level 2 legislation.
6	25/03/2020 16:19	27/03/2020 12:06	Scope	The CCP and their clearing members obliged by EMIR/MIFIR have to communicate transaction details within a given timeframe through certain approved trade systems. Is the scope of this tender to find an alternative communication method that could facilitate communication between all stakeholders?	<b>27/03/2020</b> Under Task 7, the CfT is requesting a proposal for an optimal architecture for MRER that could be used in production for all stakeholders. While this is not the main focus of the CfT, an alternative communication method could be part of the architecture proposal.

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7	25/03/2020 16:20	27/03/2020 12:07	Initial phase	In the CfT you highlight that in the initial phase, the project must focus on delivering a complete end-to-end PoC with a narrow scope – covering limited product and transaction types but including all of the relevant reporting fields for these in order to demonstrate the feasibility of the proposed approach. Can you be more specific on the narrow scope? I.e. how many product and transaction types and which ones?	<b>27/03/2020</b> The limited product and transaction types should be sufficient to demonstrate the feasibility of the proposed approach. The contractor has to make a proposal regarding the number of product and transaction types, taking the representative reporting scenarios into account.
8	25/03/2020 16:20	27/03/2020 12:07	Proof of Concept	Are the requirements to the test environment, as a Proof of Concept, limited to the description, use cases for testing, reproducible test results and user guide? I.e. can we assume that the test environment does not need to be 24/7 operational, meet detailed security/privacy requirements and/or other regulatory requirements from the industry. (so is it sufficient to demonstrate the required functionality).	<b>27/03/2020</b> A test environment is required allowing to demonstrate the feasibility of the proposed approach and run the Proof-of-Concept with all required functionality. The operational and security requirements for a production environment are not part of the CfT.
9	01/04/2020 18:26	06/04/2020 12:17	Subcontracting Annex 5.1	The header of the Commitment Letter in Annex 5.1 contains an "Attn" field. Whom should such commitment letter be addressed to?	<b>06/04/2020</b> The letter is to be addressed to Mr Henning Arp, Head of Unit.

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#	Submission date	Publication date	Question subject	Question	Answer
10	20/04/2020 10:10	20/04/2020 10:11	Deadline for submission of tenders	I am writing to clarify the closing date for open procedure FISMA/2020/OP/0001. Is it 29/4/2020 or 13/5/2020 (due to COVID-19)?	<b>20/04/2020</b> The deadline is 29/04/2020 as mentioned in the corrigendum (It used to be 15/04/2020 before the extension).
11	22/04/2020 17:33	24/04/2020 11:58	Use of sub-contractors and signature certificate	Regarding the use of sub-contractors as per section 2.4.2 of the tender specifications: 1) Does each sub-contractor need to provide a Declaration on Honour (or does it only apply to a joint tender)? 2) Do the Commitment Letter (as per Annex 5.1) and, if applicable depending on answer to question #1, the Declaration on Honour, need to be signed either by hand or by applying a qualified or advanced electronic signature by each sub-contractor? Or is scanned PDF valid? Thank you	<b>24/04/2020</b> We confirm that each sub-contractor needs to provide a declaration on honour in all cases. As indicated in the invitation letter, the declaration on honour shall be dated and signed, either by hand or by applying a qualified or an advanced electronic signature based on a qualified certificate, by an authorised representative of the signatory. Due to the outbreak of the coronavirus, scanned pdf of the annex 5.1 and of the declaration on honour are accepted. However originals might be requested at a later stage of the procurement procedure.

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12	24/04/2020 09:16	24/04/2020 15:33	Availability of interim or final outputs of the preceding studies, availability of data, contracts example and structured and unstructured data for for PoC Phase	<p>Dear Commission, we would like to ask you to please answer the following questions: Question 1: The tender specification refers to the Commission Fitness check document, and in the above mentioned study there is a mentions on page 30, subsection 4.1.4. to an inventory and a DPM database. We would like to ask whether any related documentation, any interim results can be made publicly available for tenderers any earlier? A key concern of ours is that in case the current opportunity is open as well for the contractor of the previous activities and studies might be seen as an unfair advantage for them vis-a-vis our position, given the considerable information asymmetry concerning any outcomes, insights or data used and investigated in the preceding study. Question 2: We would like to ask whether the inventory and a DPM database, would be made available for the future contractor after the signature of the contract to use for the PoC? Should that be the case, we would develop the proposed methodology according to what data is</p>	<p><b>24/04/2020</b></p> <p>1. The Commission Fitness Check is mentioned in the footnote of "1.4.1. background and objectives". This section only provides background information explaining the reason for this open procedure. Since it is a background document, it does not contain essential information required to make a successful proposal. The same applies for information mentioned in this background document, thus the inventory and database mentioned in the Fitness Check document which has not been made publicly available. 2. Nevertheless, the inventory and database mentioned in the Fitness Check document could be made available to the successful tenderer, should it appear to be required to perform the tasks requested under the open procedure.</p>

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				is available to work with.	
13	28/04/2020 09:05	28/04/2020 10:47	Submission of scanned copies/original documents	According to question & answer #11, a scanned pdf is valid for annex 5.1 and the declaration on honour. Is this also valid for the financial offer and tender report?	<b>28/04/2020</b> The financial offer has to be signed and uploaded in e-Submission; it can be a scanned version. The Commission might request an originally signed version later. The tender report has to be signed and uploaded in e-Submission; it can be a scanned version. The originally signed version needs to be sent on paper but this can be done later given the current circumstances.

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