# ANNEXES

## CHECKLIST OF DOCUMENTS TO BE SUBMITTED IN THE E-SUBMISSION APPLICATION

The purpose of the table below is to facilitate the preparation of the tender by providing an overview of the documents that shall be included (marked by ◼) depending on the role of each economic operator in the tender (coordinator/group leader in joint tender, partner in joint tender, single contractor, main contractor, subcontractor).Some of the documents are only relevant in cases of joint tenders or when subcontractors are involved. Additional documents might be necessary depending on the specific characteristics of each tender.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Description** | **Coordinator or group leader in joint tender** | **All partners in joint tender** | **Single or Main contractor** | **Sub- contractor** | **Where to fill in / upload a document in****e-Submission** |
| Tender Preparation Report. | ◼ |  | ◼ |  | Generated by the e-Submission application once all information and documents below have been encoded and uploaded in the application |
| Duly authorised signature, i.e. an official document (statutes, power of attorney, notary statement, etc.) proving that the person who signs on behalf of the Tenderer is duly authorised to do so | ◼ |  | ◼ |  | "Qualification" -> "Identification of the tenderer" under "Documents" |
| Power of attorney of partners in joint tender indicating the group leader  |  | ◼ |  |  | "Qualification" -> "Identification of the tenderer" under "Documents" |
| Letter of intent of subcontractor |  |  |  | ◼ | "Qualification" -> "Identification of the tenderer" under "Documents" |
| Legal Entity Form  | ◼ | ◼ | ◼ | ◼ | "Qualification" -> "Identification of the tenderer" under "Documents" |
| Supporting documents for the Legal Entity File Form  | ◼ | ◼ | ◼ | ◼ | "Qualification" -> "Identification of the tenderer" under "Documents" |
| Financial Identification form (either signed & stamped by the bank or accompanied by a recent bank statement)  | ◼ |  | ◼ |  | "Qualification" -> "Identification of the tenderer" under "Documents" |
| Exclusion and Selection Criteria form  | ◼ | ◼ | ◼ | ◼ | "Qualification" -> "Identification of the tenderer" under "Documents" |
| Evidence of Economic and financial capacity | ◼ | ◼ | ◼ | ◼\* | "Qualification" ->"Selection Criteria" -> "Financial and Economic Capacity" |
| Evidence of Technical and professional capacity | ◼ | ◼ | ◼ | ◼ | "Qualification" ->"Selection Criteria" -> "Technical and Professional Capacity" |
| The following sections shall be provided in the tender, their absence would mean rejection of the tender for incompleteness: |
| Technical Proposal  | ◼ |  | ◼ |  | "Technical tender" |
| Financial Proposal  | ◼ |  | ◼ |  | "Financial tender" |

 \*only if the main tenderer relies on the financial capacity of the sub-contractor

## SUBCONTRACTOR / LETTER OF INTENT 17.CAT.OP.032

The undersigned**:** ………………………………………………………………………….

Name of the company/organisation**:** ………………………………………………………

Address: ……………………………………………………………………………………

Declares hereby the intention to collaborate in the execution of the tasks subject to the above call for tender, in accordance with the terms of the tender to which the present form is annexed, if the contract is awarded to … …. *(name of the tenderer).*

Declares hereby accepting the general conditions attached to the tendering specifications for this call for tender, and in particular art. II.24 in relation with checks and audits.

**Full name Date Signature**

............................................................................................................................................

## POWER OF ATTORNEY

**POWER OF ATTORNEY – MODEL 1**

**Agreement / Power of Attorney**

**(DESIGNATING ONE OF THE COMPANIES OF THE GROUP AS LEADER AND**

**GIVING A MANDATE TO IT)**

We the undersigned:

– Signatory 1 (Name, Function, Company, Registered address, VAT Number)

– Signatory 2 (Name, Function, Company, Registered address, VAT Number)

– …..

– Signatory N (Name, Function, Company, Registered address, VAT Number),

Each of them having the legal capacity required to act on behalf of his/her company, HEREBY AGREE AS FOLLOWS:

In case the European Defence Agency awards Contract 17.CAT.OP.032 (« **the Contract** ») to Company 1, Company 2, …, Company N (« **the Group Members** »), based on the joint tender submitted by them on … ….. for the supply of ….. and/or the provision of services for … (« **the Supplies and/or the Services** »).

(1) As co-signatories of the Contract, all the Group Members:

(a) Shall be jointly and severally liable towards the European Defence Agency for the performance of the Contract.

(b) Shall comply with the terms and conditions of the Contract and ensure the proper execution of their respective share of the Supplies and/or the Services.

(2) To this effect, the Group Members designate Company X as **Group Leader**. *[N.B.: The Group Leader has to be one of the Group Members*]

(3) Payments by the European Defence Agency related to the Supplies or the Services shall be made through the Group Leader’s bank account. [*Provide details on bank, address, account number, etc.*].

(4) The Group Members grant to the Group Leader all the necessary powers to act on their behalf in connection with the Supplies and/or the Services. This mandate involves in particular the following tasks:

(a) The Group Leader shall sign any contractual documents —including the Framework Contract, the Order Forms and Amendments thereto— and issue any invoices related to the Supplies or the Services on behalf of the Group Members.

(b) The Group Leader shall act as single point of contact for the European Defence Agency in connection with the Supplies and/or the Services to be provided under the Contract. It shall co-ordinate the provision of the Supplies and/or the Services by the Group Members to the European Defence Agency, and shall see to a proper administration of the Contract.

Any modification to the present agreement / power of attorney shall be subject to the European Defence Agency’s express approval.

This agreement / power of attorney shall expire when all the contractual obligations of the Group Members towards the European Defence Agency in connection with the Supplies and/or the Services to be provided under the Contract have ceased to exist. The parties cannot terminate it before that date without EDA’s consent.

Signed in ………… on ……….. ………

Name

Function

Company

Name

Function

Company

Name

Function

Company

Name

Function

Company

**POWER OF ATTORNEY – MODEL 2**

**Agreement / Power of Attorney**

**(CREATING THE GROUP AS SEPARATE ENTITY, APPOINTING A GROUP**

**MANAGER AND GIVING A MANDATE TO HIM/HER)**

We the undersigned:

– Signatory 1 (Name, Function, Company, Registered address, VAT Number)

– Signatory 2 (Name, Function, Company, Registered address, VAT Number)

– …..

– Signatory N (Name, Function, Company, Registered address, VAT Number),

Each of them having the legal capacity required to act on behalf of his/her company, HEREBY AGREE AS FOLLOWS:

In case the European Defence Agency awards the Contract 17.CAT.OP.032 (« **the Contract** ») to Company 1, Company 2, …, Company N (« **the Group Members** »), based on the joint tender submitted by them on … ….. for the supply of ….. and/or the provision of services for … (« **the Supplies and/or the Services** »).

(1) As co-signatories of the Contract, all the Group Members:

(a) Shall be jointly and severally liable towards the European Defence Agency for the performance of the Contract.

(b) Shall comply with the terms and conditions of the Contract and ensure the proper execution of their respective share of the Supplies and/or the Services.

(2) To this effect, the Group Members have set up under the laws of ……. the Group ….. (« **the Group** »). The Group has the legal form of a .….. [*Provide details on registration of the Group: VAT Number, Trade Register, etc.*].

(3) Payments by the European Defence Agency related to the Supplies or the Services shall be made through the Group’s bank account. [*Provide details on bank, address, account number, etc.*].

(4) The Group Members appoint Mr/Ms ……. as **Group Manager**.

(5) The Group Members grant to the Group Manager all the necessary powers to act alone on their behalf in connection with the Supplies and/or the Services. This mandate involves in particular the following tasks:

(a) The Group Manager shall sign any contractual documents —including the framework contract, order forms and Amendments thereto— and issue any invoices related to the Supplies or the Services on behalf of the Group Members.

(b) The Group Manager shall act as single point of contact for the European Defence Agency in connection with the Supplies and/or the Services to be provided under the Contract. He/she shall co-ordinate the provision of the Supplies and/or the Services by the Group Members to the European Defence Agency, and shall see to a proper administration of the Contract.

Any modification to the present agreement / power of attorney shall be subject to the

European Defence Agency’s express approval.

This agreement / power of attorney shall expire when all the contractual obligations of the Group Members towards the European Defence Agency in connection with the Supplies and/or the Services to be provided under the Contract have ceased to exist. The parties cannot terminate it before that date without EDA’s consent.

Signed in ……... on ……….. ………

Name

Function

Company

Name

Function

Company

Name

Function

Company

Name

Function

Company

## EXCLUSION -CRITERIA FORM (INVITATION TO TENDER NO17.CAT.OP.032)

**Declaration on honour on**

**exclusion criteria and selection criteria**

The undersigned [*insert name of the signatory of this form*], representing:

|  |  |
| --- | --- |
| (*only for natural persons*) himself or herself | (*only for legal persons*) the following legal person:  |
| ID or passport number: (‘the person’) | Full official name:Official legal form: Statutory registration number**:** Full official address: VAT registration number: (‘the person’) |

I – Situation of exclusion concerning the person

|  |  |  |
| --- | --- | --- |
| 1. declares that the above-mentioned person is in one of the following situations:
 | YES | NO |
| 1. it is bankrupt, subject to insolvency or winding up procedures, its assets are being administered by a liquidator or by a court, it is in an arrangement with creditors, its business activities are suspended or it is in any analogous situation arising from a similar procedure provided for under national legislation or regulations;
 | [ ]  | [ ]  |
| 1. it has been established by a final judgement or a final administrative decision that the person is in breach of its obligations relating to the payment of taxes or social security contributions in accordance with the law of the country in which it is established, with those of the country in which the contracting authority is located or those of the country of the performance of the contract;
 | [ ]  | [ ]  |
| 1. it has been established by a final judgement or a final administrative decision that the person is guilty of grave professional misconduct by having violated applicable laws or regulations or ethical standards of the profession to which the person belongs, or by having engaged in any wrongful conduct which has an impact on its professional credibity where such conduct denotes wrongful intent or gross negligence, including, in particular, any of the following:
 |  |
| (i) fraudulently or negligently misrepresenting information required for the verification of the absence of grounds for exclusion or the fulfilment of selection criteria or in the performance of a contract; | [ ]  | [ ]  |
| (ii) entering into agreement with other persons with the aim of distorting competition; | [ ]  | [ ]  |
| (iii) violating intellectual property rights; | [ ]  | [ ]  |

|  |  |  |
| --- | --- | --- |
| (iv) attempting to influence the decision-making process of the contracting authority during the award procedure;  | [ ]  | [ ]  |
| (v) attempting to obtain confidential information that may confer upon it undue advantages in the award procedure***;***  | [ ]  | [ ]  |
| 1. it has been established by a final judgement that the person is guilty of the following:
 |  |
| (i) fraud, within the meaning of Article 1 of the Convention on the protection of the European Communities' financial interests, drawn up by the Council Act of 26 July 1995; | [ ]  | [ ]  |
| (ii) corruption, as defined in Article 3 of the Convention on the fight against corruption involving officials of the European Communities or officials of EU Member States, drawn up by the Council Act of 26 May 1997, and in Article 2(1) of Council Framework Decision 2003/568/JHA, as well as corruption as defined in the legal provisions of the country where the contracting authority is located, the country in which the person is established or the country of the performance of the contract; | [ ]  | [ ]  |
| (iii) participation in a criminal organisation, as defined in Article 2 of Council Framework Decision 2008/841/JHA; | [ ]  | [ ]  |
| (iv) money laundering or terrorist financing, as defined in Article 1 of Directive 2005/60/EC of the European Parliament and of the Council; | [ ]  | [ ]  |
| (v) terrorist-related offences or offences linked to terrorist activities, as defined in Articles 1 and 3 of Council Framework Decision 2002/475/JHA, respectively, or inciting, aiding, abetting or attempting to commit such offences, as referred to in Article 4 of that Decision; | [ ]  | [ ]  |
| (vi) child labour or other forms of trafficking in human beings as defined in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council; | [ ]  | [ ]  |
| 1. the person has shown significant deficiencies in complying with the main obligations in the performance of a contract financed by the Union’s budget, which has led to its early termination or to the application of liquidated damages or other contractual penalties, or which has been discovered following checks, audits or investigations by an Authorising Officer, OLAF or the Court of Auditors;
 | [ ]  | [ ]  |
| 1. it has been established by a final judgment or final administrative decision that the person has committed an irregularity within the meaning of Article 1(2) of Council Regulation (EC, Euratom) No 2988/95;
 | [ ]  | [ ]  |

|  |  |  |
| --- | --- | --- |
| 1. for the situations of grave professional misconduct, fraud, corruption, other criminal offences, significant deficiencies in the performance of the contract or irregularity, the applicant is subject to:
2. facts established in the context of audits or investigations carried out by the Court of Auditors, OLAF or internal audit, or any other check, audit or control performed under the responsibility of an authorising officer of an EU institution, of a European office or of an EU agency or body;
3. non-final administrative decisions which may include disciplinary measures taken by the competent supervisory body responsible for the verification of the application of standards of professional ethics;
4. decisions of the ECB, the EIB, the European Investment Fund or international organisations;
5. decisions of the Commission relating to the infringement of the Union's competition rules or of a national competent authority relating to the infringement of Union or national competition law; or
6. decisions of exclusion by an authorising officer of an EU institution, of a European office or of an EU agency or body.
 | [ ]  | [ ]  |

II – Situations of exclusion concerning natural persons with power of representation, decision-making or control over the legal person

***Not applicable to natural persons, Member States and local authorities***

|  |  |  |  |
| --- | --- | --- | --- |
| 1. declares that a natural person who is a member of the administrative, management or supervisory body of the above-mentioned legal person, or who has powers of representation, decision or control with regard to the above-mentioned legal person (this covers company directors, members of management or supervisory bodies, and cases where one natural person holds a majority of shares) is in one of the following situations:
 | YES | NO | N/A |
| Situation (c) above (grave professional misconduct) | [ ]  | [ ]  | [ ]  |
| Situation (d) above (fraud, corruption or other criminal offence) | [ ]  | [ ]  | [ ]  |
| Situation (e) above (significant deficiencies in performance of a contract) | [ ]  | [ ]  | [ ]  |
| Situation (f) above (irregularity) | [ ]  | [ ]  | [ ]  |

III – Situations of exclusion concerning natural or legal persons assuming unlimited liability for the debts of the legal person

|  |  |  |  |
| --- | --- | --- | --- |
| 1. declares that a natural or legal person that assumes unlimited liability for the debts of the above-mentioned legal person is in one of the following situations:
 | YES | NO | N/A |
| Situation (a) above (bankruptcy) | [ ]  | [ ]  | [ ]  |
| Situation (b) above (breach in payment of taxes or social security contributions) | [ ]  | [ ]  | [ ]  |

IV – Grounds for rejection from this procedure

|  |  |  |
| --- | --- | --- |
| 1. declares that the above-mentioned person:
 | YES | NO |
| 1. has distorted competition by being previously involved in the preparation of procurement documents for this procurement procedure.
 | [ ]  | [ ]  |

V – Remedial measures

If the person declares one of the situations of exclusion listed above, it must indicate measures it has taken to remedy the exclusion situation, thus demonstrating its reliability. This may include e.g. technical, organisational and personnel measures to prevent further occurrence, compensation of damage or payment of fines. The relevant documentary evidence which illustrates the remedial measures taken must be provided in annex to this declaration. This does not apply for situations referred in point (d) of this declaration.

VI – Evidence upon request

Upon request and within the time limit set by the contracting authority the person must provide information on the persons that are members of the administrative, management or supervisory body. It must also provide the following evidence concerning the person itself and concerning the natural or legal persons which assume unlimited liability for the debt of the person:

For situations described in (a), (c), (d) or (f), production of a recent extract from the judicial record is required or, failing that, an equivalent document recently issued by a judicial or administrative authority in the country of establishment of the person showing that those requirements are satisfied.

For the situation described in point (a) or (b), production of recent certificates issued by the competent authorities of the State concerned are required. These documents must provide evidence covering all taxes and social security contributions for which the person is liable, including for example, VAT, income tax (natural persons only), company tax (legal persons only) and social security contributions. Where any document described above is not issued in the country concerned, it may be replaced by a sworn statement made before a judicial authority or notary or, failing that, a solemn statement made before an administrative authority or a qualified professional body in its country of establishment.

The person is not required to submit the evidence if it has already been submitted for another procurement procedure. The documents must have been issued no more than one year

before the date of their request by the contracting authority and must still be valid at that date. The signatory declares that the person has already provided the documentary evidence for a previous procedure and confirms that there has been no change in its situation:

|  |  |
| --- | --- |
| **Document** | **Full reference to previous procedure** |
| *Insert as many lines as necessary.* |  |

VII – Selection criteria

|  |  |  |  |
| --- | --- | --- | --- |
| 1. declares that the above-mentioned person complies with the selection criteria applicable to it individually as provided in the tender specifications:
 | YES | NO | N/A |
| 1. It fulfills the applicable economic and financial criteria indicated in section 3.5.3 of the tender specifications;
 | [ ]  | [ ]  | [ ]  |
| 1. It fulfills the applicable technical and professional criteria indicated in section 3.5.3 of the tender specifications.
 | [ ]  | [ ]  | [ ]  |

|  |  |  |  |
| --- | --- | --- | --- |
| 1. if the above-mentioned person is the **sole tenderer** or the **leader in case of joint tender**, declares that:
 | YES | NO | N/A |
| 1. the tenderer, including all members of the group in case of joint tender and including subcontractors if applicable, complies with all the selection criteria for which a consolidated asseessment will be made as provided in the tender specifications.
 | [ ]  | [ ]  | [ ]  |

VII – Evidence for selection

The signatory declares that the above-mentioned person is able to provide the necessary supporting documents listed in the relevant sections of the tender specifications and which are not available electronically upon request and without delay.

The person is not required to submit the evidence if it has already been submitted for another procurement procedure. The documents must have been issued no more than one year before the date of their request by the contracting authority and must still be valid at that date.

The signatory declares that the person has already provided the documentary evidence for a previous procedure and confirms that there has been no change in its situation:

|  |  |
| --- | --- |
| **Document** | **Full reference to previous procedure** |
| *Insert as many lines as necessary.* |  |

***The above-mentioned person may be subject to rejection from this procedure and to administrative sanctions (exclusion or financial penalty) if any of the declarations or information provided as a condition for participating in this procedure prove to be false.***

Full name Date Signature

FINANCIAL AND ECONOMIC CAPACITY OVERVIEW FORM 

## MODEL FINANCIAL PROPOSAL FORM

|  |
| --- |
| Prices shall be all-inclusive; the Agency will not pay expenses for any additional costs incurred from the execution of the contract.Financial proposals exceeding the maximum amount of EUR 150 000 shall be excluded outright.**Incomplete submission of the financial proposal or any alteration of the present form may result in the rejection of the tender.** |

|  |  |
| --- | --- |
| **Procurement procedure:**  | **17.CAT.OP.032** |

|  |  |
| --- | --- |
| **Name of Tenderer:**  |  |

|  |  |
| --- | --- |
| **TOTAL COST OF ASSIGNMENT,** **INCLUDING ALL ASSOCIATED EXPENSES****AND EXCLUDING VAT:** | **€**  |
| **AMOUNT FOR EACH CONSORTIUM MEMBER (WHEN APPLICABLE)** |  |
| **AMOUNT FOR EACH SUBCONTRACTOR (WHEN APPLICABLE)** |  |
| **VALUE OR PROPORTION OF THE TOTAL COST OF THE ASSIGNMENT TO BE SUBCONTRACTED (WHEN APPLICABLE)** |  |

|  |  |  |
| --- | --- | --- |
| **Name:****(of the tenderer’s authorised representative)** | **Signature:** | **Date:** |

## DRAFT CONTRACT

Enclosed as a separate document